

	c	50% and less	0						
		POA&M process prioritizes IT security weaknesses to help	3			0	0	0	
		a Between 96 and 100%	3						
	vi)	b Between 81and 95%	2						
		c Between 71 and 80%	1						
		d Between 51and 70%	0.5						
		e 50% and less	0						
		C. Certification and Accreditation (C&A)	20			0	0	0	
3		The percentage of systems that have been certified and	12			0	0	0	
		HIGH Impact Systems	6			0	0	0	
		a Between 90 and 100%	6						
		b Between 75 and 89%	4						
		c Between 60 and 74%	3						
		d Between 45 and 59%	1						
		e 44% and less	0						
		Moderate Impact Systems	4			0	0	0	
		a Between 90 and 100%	4						
	i)	b Between 75 and 89%	2						
		c Between 60 and 74%	1						
		d Between 45 and 59%	0.5						
		e 44% and less	0						
		Low Impact Systems	2			0	0	0	
		a Between 90 and 100%	2						
		b Between 75 and 89%	1.5						
		c Between 60 and 74%	1						
		d Between 45 and 59%	0.5						
		e 44% and less	0						
		The percentage of systems whose security controls have been	4			0	0	0	
		HIGH Impact Systems	2			0	0	0	
		a Between 90 and 100%	2						
		b Between 75 and 89%	1.5						
		c Between 60 and 74%	1						
		d Between 45 and 59%	0.5						
		e 44% and less	0						
	ii)	Moderate Impact Systems	1.5			0	0	0	
		a Between 96 and 100%	1.5						
		b Between 51and 95%	0.5						
		c 50% and less	0						
		Low Impact Systems	0.5			0	0	0	
		a Between 96 and 100%	0.5						
		b 95% and less	0						
		The percentage of systems that have a contingency plan that	4			0	0	0	
		HIGH Impact Systems	2			0	0	0	
		a Between 90 and 100%	2						
		b Between 75 and 89%	1.5						
		c Between 60 and 74%	1						
		d Between 45 and 59%	0.5						
		e 44% and less	0						
	iii)	Moderate Impact Systems	1.5			0	0	0	
		a Between 96 and 100%	1.5						
		b Between 51and 95%	0.5						
		c 50% and less	0						
		Low Impact Systems	0.5			0	0	0	
		a Between 51and 100%	0.5						
		b 50% and less	0						
		OIG Assessment of the Certification and Accreditation Process	0			FALSE	FALSE	FALSE	
		OIG C&A Evaluation							
	iv)	a Excellent, Good, Satisfactory (No Deduction from C&A score in question 6i)	0%						
		b Poor (-1/2 of C&A points awarded in question 6i)	-50%						
		c Failing (-100% of C&A Points awarded in question 6i)	10%						
		D. Configuration Management	20			0	0	0	
4		Is there an agency wide security configuration policy?	20			0	0	0	
		a Yes	20						
		b No (Go to Section E, Question 7.i)	0						
		Questions 1 through 11 only apply, if the agency has addressed the							
		1. Windows XP Professional	0			0	0	0	
		a Between 81 and 100% or (N/A)	0						
		b Between 71 and 80%	-0.5						
		c 70% and less or (No)	-1						
		2. Windows NT	0			0	0	0	
		a Between 81 and 100% or (N/A)	0						
		b Between 71 and 80%	-0.5						

	c	70% and less or (No)	-1	D. Configuration Management			
		3. Windows 2000 Professional	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		4. Windows 2000 Server	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		5. Windows 2003 Server	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
	i)	6. Solaris	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		7. HP-UX	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		8. Linux	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		9. Cisco Router IOS	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		10. Oracle	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		11. Other. Specify:	0		0	0	0
	a	Between 81 and 100% or (N/A)	0				
	b	Between 71 and 80%	-0.5				
	c	70% and less or (No)	-1				
		Has the agency documented in its security policies special procedures for using emerging technologies (including but		E. Incident Detection and Response	0	0	0
	ii)	a Yes (No deductions)	0				
		b No (Loss of 4 points)	-4				
		E. Incident Detection and Response	15		0	0	0
	5	The agency follows documented policies and procedures for	7		0	0	0
	i)	a Yes	7				
		b No	0				
		The agency follows documented policies and procedures for external reporting to law enforcement authorities	4		0	0	0
	ii)	a Yes	4				
		b No	0				
		The agency follows defined procedures for reporting to the United States Computer Emergency Readiness Team (US	4	F. Training	0	0	0
	iii)	a Yes	4				
		b No	0				
		8. Has the agency ensured security training and awareness of all employees, including contractors and those employees with	10		0	0	0
		The percentage of agency employees (including contractors)	4		0	0	0
	i)	a Between 90 and 100%	4				
		b Between 75 and 89%	3				
		c Between 60 and 74%	2				
		d Between 45 and 59%	1				
		e 44% and less	0				
		The percentage of employees with significant security	4	F. Training	0	0	0
	ii)	a Between 90 and 100%	4				
		b Between 75 and 89%	3				
		c Between 60 and 74%	2				
		d Between 45 and 59%	1				
		e 44% and less	0				

		The agency provided the total training costs for FY05.	1		0	0	0
	iii)	a Yes	1				
		b No	0				
		The agency explains policies regarding peer-to-peer file sharing in IT security awareness training, ethics training or	1		0	0	0
	iv)	a Yes	1				
		b No	0				
G. Inventory (No deductions or -10 maximum)			0		0	0	0
9		What progress has the agency made to develop an inventory of	0		0	0	0
		The agency has developed an inventory of major information	0		0	0	0
	i)	a Between 96 and 100%	0				
		b 95% and less (Or the agency has no inventory)	-10				
		The OIG generally agrees with the CIO on the number of	0		0	0	0
	ii)	a Between 96 and 100%	0				
		b 95% and less	-10				
		The OIG generally agrees with the CIO on the number of	0		0	0	0
	iii)	a Between 96 and 100%	0				
		b 95% and less	-10				
Possible extra credit questions							
2		Does the agency have a plan in place to fully implement the	0		0	0	0
		a Yes					
		b No					
3		Has the agency begun to implement the security controls	0		0	0	0
		a Yes					
		b No					